

# First Dallas Securities

2905 Maple Avenue  
Dallas, TX 75201  
P: 214.954.1177 | F: 214.954.1281  
IARD # 24549

## **Craig D. Hodges**

2905 Maple Ave.  
Dallas, TX 75201  
214-954-1177  
CRD # 1714289

**January 2017**

This Brochure Supplement provides information about Craig D. Hodges that supplements the First Dallas Securities, Inc. Brochure. You should have received a copy of that brochure. Please contact Compliance at (888) 878-4426 if you did not receive First Dallas Securities, Inc's Brochure or if you have any questions about the contents of this supplement.

**Additional information about Craig D. Hodges is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2: Education Background and Business Experience**

Craig D. Hodges, born 1963, graduated in 1986 from Baylor University in Waco, TX, where he received his Bachelor of Business Administration ("B.B.A") degree in Finance & Marketing.

### **Professional Licenses:**

Craig D. Hodges does not have any professional designations.

### **Business Background:**

<b>EMPLOYER</b>	<b>START DATE</b>	<b>END DATE</b>	<b>POSITION</b>
Hodges Capital Management, Inc. of the Hodges Funds	October 1999	Current	Co-Portfolio Manager of the Hodges Funds
Hodges Capital Management, Inc.	October 1998	Current	CIO, CEO & Investment Advisor Representative
First Dallas Securities, Inc.	November 1989	Current	CEO, Investment Advisor Representative & Registered Representative

## **Item 3: Disciplinary Information**

Craig D. Hodges does not have any disciplinary information to disclose.

A full report that reflects the professional background, business practices, and conduct of our advisory agents is available through the Financial Industry Regulatory Authority's (FINRA) BrokerCheck system link at [www.finra.org/brokercheck](http://www.finra.org/brokercheck) or you may request disclosable information under BrokerCheck by calling (800) 289-9999, a toll-free hotline operated by FINRA.

You may also access a full report of our advisory agents through the IARD link at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Should you have any technical difficulties with this link you can call (240) 386-4848 for further assistance.

The information that appears on these websites is collected from individual Investment Advisor Representatives, Investment Advisor firm(s), and/or securities regulator(s) as part of the securities industry's registration and licensing process.

## **Item 4: Other Business Activities**

Craig D. Hodges is also a registered representative of First Dallas Securities, Inc. (Member FINRA & SIPC). In this capacity, he may sell securities through First Dallas Securities, Inc. and receive normal and customary commissions as a result of such purchases and sales. This presents a conflict of interest to the extent that he recommends that you invest in a security which may result in a commission being paid.

Craig D. Hodges is also an Investment Advisor Representative for Hodges Capital Management, Inc., a Registered Investment Advisory firm registered with the U.S. Securities and Exchange Commission (SEC).

Craig D. Hodges is the Chairman and an Investment Adviser Representative for Hodges Capital Management, Inc., a Registered Investment Advisory firm registered with the U.S. Securities and Exchange Commission (SEC).

Craig D. Hodges is the Chairman of Hodges Capital Holdings, Inc., the parent company of First Dallas Securities, Inc. and Hodges Capital Management, Inc.

### **Item 5: Additional Compensation**

As our responsibility to clients, Craig D. Hodges at all times will place the interests of investment advisory clients first. Clients should be aware, however, that the receipt of compensation from Hodges Capital Management could create a conflict of interest.

### **Item 6: Supervision**

Craig D. Hodges is supervised by Firm Principals and/or the Chief Compliance Officer. Supervision is ongoing and includes, periodic account reviews, trade supervision, annual compliance reviews including, but not limited to the testing of Firm systems, staff meetings and policies and procedures. As of the date of this Brochure, the Firm Principals and/or the Chief Compliance Officer can be reached at (888) 878-4426 should you have any additional questions or concerns.

### **Item 7: Requirement for State-Registered Advisers**

N/A

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## Gary M. Bradshaw

2905 Maple Ave.  
Dallas, TX 75201  
214-954-1177  
CRD # 1389924

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**Additional information about Gary M. Bradshaw is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2: Education Background and Business Experience**

Gary M. Bradshaw, born 1956, graduated in 1978 from Virginia Tech in Blacksburg, VA where he received his Bachelor of Science ("B.S.") degree in Forestry. He later in received his Master of Business Administration ("M.B.A") degree in Business from East Texas State University in Commerce, TX in 1983.

### **Professional Licenses:**

Gary M. Bradshaw does not have any professional designations.

### **Business Background:**

<b>EMPLOYER</b>	<b>START DATE</b>	<b>END DATE</b>	<b>POSITION</b>
Hodges Capital Management, Inc. of the Hodges Funds	December 2007	Current	Co-Portfolio Manager of the Hodges Funds
Hodges Capital Management, Inc.	January 2001	Current	Sr. V.P. & Investment Advisor Representative
First Dallas Securities, Inc.	February 1990	Current	Sr. V.P., Investment Advisor Representative & Registered Representative

## **Item 3: Disciplinary Information**

Gary M. Bradshaw does have a disciplinary event disclosed on his registration history.

A full report that reflects the professional background, business practices, and conduct of our advisory agents is available through the Financial Industry Regulatory Authority's (FINRA) BrokerCheck system link at [www.finra.org/brokercheck](http://www.finra.org/brokercheck) or you may request disclosable information under BrokerCheck by calling (800) 289-9999, a toll-free hotline operated by FINRA.

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## **Item 4: Other Business Activities**

Gary M. Bradshaw is also a registered representative of First Dallas Securities, Inc. (Member FINRA & SIPC). In this capacity, he may sell securities through First Dallas Securities, Inc. and receive normal and customary commissions as a result of such purchases and sales. This presents a conflict of interest to the extent that he recommends that you invest in a security which may result in a commission being paid.

Gary M. Bradshaw is also an Investment Advisor Representative for Hodges Capital Management, Inc., a Registered Investment Advisory firm registered with the U.S. Securities and Exchange Commission (SEC).

## **Item 5: Additional Compensation**

As our responsibility to clients, Gary M. Bradshaw at all times will place the interests of investment advisory clients first. Clients should be aware, however, that the receipt of compensation from Hodges Capital Management could create a conflict of interest.

### **Item 6: Supervision**

Gary M. Bradshaw is supervised by Firm Principals and/or the Chief Compliance Officer. Supervision is ongoing and includes, periodic account reviews, trade supervision, annual compliance reviews including, but not limited to the testing of Firm systems, staff meetings and policies and procedures. As of the date of this Brochure, the Firm Principals and/or the Chief Compliance Officer can be reached at (888) 878-4426 should you have any additional questions or concerns.

### **Item 7: Requirement for State-Registered Advisers**

N/A

# First Dallas Securities

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## Michael E. Rask

2905 Maple Ave.  
Dallas, TX 75201  
214-954-1177  
CRD # 3194771

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This Brochure Supplement provides information about Michael E. Rask that supplements the First Dallas Securities, Inc. Brochure. You should have received a copy of that brochure. Please contact Compliance at (888) 878-4426 if you did not receive First Dallas Securities, Inc's Brochure or if you have any questions about the contents of this supplement.

**Additional information** about Michael E. Rask is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2: Education Background and Business Experience**

Michael E. Rask, born 1972, graduated in 1994 from the University of North Texas in Denton, TX where he received his Bachelor of Business Administration ("B.B.A.") degree in Entrepreneurship.

### **Professional Licenses:**

Michael E. Rask does not have any professional designations.

### **Business Background:**

<b>EMPLOYER</b>	<b>START DATE</b>	<b>END DATE</b>	<b>POSITION</b>
Hodges Capital Management, Inc.	May 2007	Current	Investment Advisor Representative
First Dallas Securities, Inc.	April 2005	Current	Head of Trading, Investment Advisor Representative & Registered Representative

## **Item 3: Disciplinary Information**

Michael E. Rask does not have any disciplinary information to disclose.

A full report that reflects the professional background, business practices, and conduct of our advisory agents is available through the Financial Industry Regulatory Authority's (FINRA) BrokerCheck system link at [www.finra.org/brokercheck](http://www.finra.org/brokercheck) or you may request disclosable information under BrokerCheck by calling (800) 289-9999, a toll-free hotline operated by FINRA.

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The information that appears on these websites is collected from individual Investment Advisor Representatives, Investment Advisor firm(s), and/or securities regulator(s) as part of the securities industry's registration and licensing process.

## **Item 4: Other Business Activities**

Michael E. Rask is also a registered representative of First Dallas Securities, Inc. (Member FINRA & SIPC). In this capacity, he may sell securities through First Dallas Securities, Inc. and receive normal and customary commissions as a result of such purchases and sales. This presents a conflict of interest to the extent that he recommends that you invest in a security which may result in a commission being paid.

Michael E. Rask is also an Investment Advisor Representative for Hodges Capital Management, Inc., a Registered Investment Advisory firm registered with the U.S. Securities and Exchange Commission (SEC).

## **Item 5: Additional Compensation**

As our responsibility to clients, Michael E. Rask at all times will place the interests of investment advisory clients first. Clients should be aware, however, that the receipt of compensation from Hodges Capital Management could create a conflict of interest.



### **Item 6: Supervision**

Michael E. Rask is supervised by Firm Principals and/or the Chief Compliance Officer. Supervision is ongoing and includes, periodic account reviews, trade supervision, annual compliance reviews including, but not limited to the testing of Firm systems, staff meetings and policies and procedures. As of the date of this Brochure, the Firm Principals and/or the Chief Compliance Officer can be reached at (888) 878-4426 should you have any additional questions or concerns.

### **Item 7: Requirement for State-Registered Advisers**

N/A

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## Robert J. Woodard

2905 Maple Ave.  
Dallas, TX 75201  
214-954-1177  
CRD # 1264549

January 2017

This Brochure Supplement provides information about Robert J. Woodard that supplements the First Dallas Securities, Inc. Brochure. You should have received a copy of that brochure. Please contact Compliance at (888) 878-4426 if you did not receive First Dallas Securities, Inc's Brochure or if you have any questions about the contents of this supplement.

**Additional information about Robert J. Woodard is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2: Education Background and Business Experience**

Robert J. Woodard, born 1959, graduated in 1982 from Baylor University in Waco, TX, where he received his Bachelor of Arts ("B.A.") degree in Finance/Marketing.

### **Professional Licenses:**

Robert J. Woodard does not have any professional designations.

### **Business Background:**

<b>EMPLOYER</b>	<b>START DATE</b>	<b>END DATE</b>	<b>POSITION</b>
Hodges Capital Management, Inc.	November 1999	Current	Sr. Vice President & Investment Advisor Representative
First Dallas Securities, Inc.	February 1990	Current	Sr. V.P., Investment Advisor Representative & Registered Representative

## **Item 3: Disciplinary Information**

Robert J. Woodard does have a disciplinary event that is disclosed on his registration history.

A full report that reflects the professional background, business practices, and conduct of our advisory agents is available through the Financial Industry Regulatory Authority's (FINRA) BrokerCheck system link at [www.finra.org/brokercheck](http://www.finra.org/brokercheck) or you may request disclosable information under BrokerCheck by calling (800) 289-9999, a toll-free hotline operated by FINRA.

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## **Item 4: Other Business Activities**

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Robert J. Woodard is also an Investment Advisor Representative for Hodges Capital Management, Inc., a Registered Investment Advisory firm registered with the U.S. Securities and Exchange Commission (SEC).

## **Item 5: Additional Compensation**

As our responsibility to clients, Robert J. Woodard at all times will place the interests of investment advisory clients first. Clients should be aware, however, that the receipt of compensation from Hodges Capital Management could create a conflict of interest.

**Item 6: Supervision**

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**Item 7: Requirement for State-Registered Advisers**

N/A